

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/870,116	05/30/2001	Gregory S. Peterson	74318-9002-01

CONFIRMATION NO. 6530

23409
MICHAEL BEST & FRIEDRICH, LLP
100 E WISCONSIN AVENUE
MILWAUKEE, WI 53202

FORMALITIES LETTER



OC00000006353758

Date Mailed: 07/27/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 840.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09/20/2001 SHINASS1 00000102 09870116

01 FC:201
02 FC:205

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
Group Art Unit 3743

In re

Patent Application of

Gregory S. Peterson, et al.

Serial No. 09/870,116

Filed: May 30, 2001

Examiner:

"HEAT EXCHANGER"

I, Mary Beth Geipel, hereby certify that this correspondence is being deposited with the US Postal Service as first class mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231, on the date of my signature.

Mary Beth Geipel
Signature

Sept. 17, 2001
Date of Signature

RESPONSE TO NOTICE TO FILE MISSING PARTS

Box Missing Part
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

This is in response to the Notice to File Missing Parts of Application - Filing Date

Granted, which was mailed July 27, 2001 and a copy of which is attached. Enclosed is a

Declaration and Power of Attorney, a Small Entity Status Assertion, and a check for \$420.00.


The filing fee has been calculated as shown below.

(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) BASIC FEE
				\$355 .00
TOTAL CLAIMS	13 - 20	= 0	X \$9.00	= \$0.0 0
INDEPENDENT CLAIMS	3 - 3	= 0	X \$40.00	= \$0.0 0
SURCHARGE				65.0 0
TOTAL FILING FEE --				\$420 .00

Charge or credit Deposit Account No. 13-3080 with any shortage or overpayment of the required fee. A duplicate of this sheet is enclosed.

Also, please note that there is an address change on the Declaration, and we ask that it be reflected on the new filing receipt.

Respectfully submitted,

A handwritten signature in cursive script that reads "Richard L. Kaiser".

Richard L. Kaiser
Reg. No. 46,158

File No. 74318-9002-01

Michael Best & Friedrich LLP
100 East Wisconsin Avenue
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